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bcket Number MERCE PATENT AND TRADEMARK OFFICE Form PTO-1390 U.S. DEPARTMENT O 18744-0004 U.S. Application No. TRANSMITTAL LETTER TO THE UNITED STATES (if known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 International Application No. International Filing Date Priority Date Claimed PCT/EP00/06832 July 17, 2000 July 15, 1999 Title of Invention PRODUCTION AND USE OF LUMINESCENT MICROPARTICLES AND **NANOPARTICLES** Applicant(s) for DO/EO/US KLIMANT, Ingo Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission 3. must include items (5), (6), and (9) and (21) indicated below. 4. The US has been elected by the expiration of 19th month from the earliest claimed priority date (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2))  $\boxtimes$ a.  $\boxtimes$  is attached herewith (required only if not transmitted by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🔲 An English language translation of the International Application into English (35 U.S.C. 371(c)(2)) 6. is attached herewith. a. 🛛 b. 🔲 has been previously submitted under 35 US.C. 154(d)(4). c. 🔲 translation not required as the application was filed in English. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached herewith (required only if not transmitted by the International Bureau). a. 🛛 b. 🔲 have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C.  $\boxtimes$ 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  $\boxtimes$ 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 20. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A FIRST preliminary amendment. 13. 🔲 14. 🔲 A SECOND or SUBSEQUENT preliminary amendment. 15. 🔲 A substitute specification. 16. 🔲 A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. 🔲 A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. 🔲 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: 20.

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Date:

January 15, 2002

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U.S. Application	plication No. 6012000 3745 International Application No. PCT/EP00/06832			Attorney's Docket Number 18744-0004		
21.  The following fees are submitted:			CALCULATIONS PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)						
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 890.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$		
Claims	Number Filed		Rate	· · · · · · · · · · · · · · · · · · ·		
Total claims	27 - 20 =	7	x 18.00	\$ 126.00		
Independent Claims	1 - 3 =	0	x <b>84.00</b>	\$ 0.00		
Multiple Dependent Claims (if applicable) + 280.00				\$ 0.00		
		OF ABOVE CAL		\$1,016.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						
			SUBTOTAL =	\$1,016.00		
Processing fee of \$130.00 for furnishing the English translation later than  20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		
TOTAL NATIONAL FEE =				\$1,016.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).  \$40.00 per property +						
TOTAL FEES ENCLOSED =				\$1,016.00		
				Amount to be refunded:	\$	
				charged:	\$	
<ul> <li>a.  A check in the amount of \$1,016.00 to cover the above fees is enclosed.</li> <li>b.  Please charge my Deposit Account No. 19-5029 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> </ul>						
c. 🛛 The						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO:  William L. Warren, Esq.  SUTHERLAND ASBILL & BRENNAN, LLP  999 Peachtree Street, N.E.  William L. Warren, Reg. No. 36,714						
Atlanta, Georgia 30309						
	Telephone: 404-853-8000 FORM PTO-1390 (Rev. 1-2002) adapted Page 2 of 2					